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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT \$65.00

## Compl te if Known

|                      |                     |
|----------------------|---------------------|
| Application Number   | 09/876,348          |
| Filing Date          | June 7, 2001        |
| First Named Inventor | Kathleen L. Horwath |
| Examiner Name        | Unknown             |
| Group Art Unit       | 1645                |
| Attorney Docket No.  | RB-125 RI           |

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number 19-0077
- Deposit Account Name Salzman & Levy
- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.10 and 1.17
- ☒ Applicant claims small entity status. See 37 CFR § 1.27
2. ☐ Payment Enclosed:
- ☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code (\$) | Fee Code (\$) | Fee Description        | Fee Paid |
|--------------|--------------|---------------|---------------|------------------------|----------|
| 101          | 710          | 201           | 355           | Utility filing fee     |          |
| 106          | 320          | 206           | 160           | Design filing fee      |          |
| 107          | 490          | 207           | 245           | Plant filing fee       |          |
| 108          | 710          | 208           | 355           | Reissue filing fee     |          |
| 114          | 510          | 214           | 75            | Provisional filing fee |          |
| SUBTOTAL (1) |              |               |               |                        |          |

### 2. EXTRA CLAIM FEES

| Extra Claims   | Fee from below | Fee Paid |
|--|----------------|----------|
| Total Claims <u>20**</u> = <u>0</u> X <u>0.00</u> = <u>0.00</u>      |                |          |
| Independent Claims <u>3**</u> = <u>0</u> X <u>0.00</u> = <u>0.00</u> |                |          |
| Multiple Dependent   |                |          |

| Large Entity | Small Entity | Fee Code (\$) | Fee Code (\$) | Fee Description  | Fee Paid |
|--------------|--------------|---------------|---------------|--|----------|
| 103          | 18           | 203           | 9             | Claims in excess of 20                                     |          |
| 102          | 80           | 202           | 40            | Independent claims in excess of 3                          |          |
| 104          | 270          | 204           | 135           | Multiple dependent claim, if not paid                      |          |
| 109          | 80           | 209           | 40            | ** Reissue independent claims over original patent         |          |
| 110          | 18           | 210           | 9             | ** Reissue claims in excess of 20 and over original patent |          |
| SUBTOTAL (2) |              |               |               |  | \$0.00   |

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

| Large Entity        | Small Entity | Fee Code (\$) | Fee Code (\$) | Fee Description  | Fee Paid |
|---------------------|--------------|---------------|---------------|--|----------|
| 105                 | 130          | 205           | 65            | Surcharge - late filing fee or oath  | 65.00    |
| 127                 | 50           | 227           | 25            | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                 | 130          | 139           | 130           | Non - English specification  |          |
| 147                 | 2,520        | 147           | 2,520         | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112                 | 920*         | 112           | 920*          | Requesting publication of SIR prior to Examiner action                     |          |
| 113                 | 1,840*       | 113           | 1,840*        | Requesting publication of SIR after Examiner action                        |          |
| 115                 | 110          | 215           | 55            | Extension for reply within first month                                     |          |
| 116                 | 390          | 216           | 195           | Extension for reply within second month                                    |          |
| 117                 | 890          | 217           | 445           | Extension for reply within third month                                     |          |
| 118                 | 1,390        | 218           | 695           | Extension for reply within fourth month                                    |          |
| 128                 | 1,890        | 228           | 945           | Extension for reply within fifth month                                     |          |
| 119                 | 310          | 219           | 155           | Notice of Appeal   |          |
| 120                 | 310          | 220           | 155           | Filing a brief in support of an appeal                                     |          |
| 121                 | 270          | 221           | 135           | Request for oral hearing   |          |
| 138                 | 1,510        | 138           | 1,510         | Petition to institute a public use proceeding                              |          |
| 140                 | 110          | 240           | 55            | Petition to revive - unavoidable   |          |
| 141                 | 1,240        | 241           | 620           | Petition to revive - unintentional   |          |
| 142                 | 1,240        | 242           | 620           | Utility issue fee (or reissue)   |          |
| 143                 | 440          | 243           | 220           | Design issue fee   |          |
| 144                 | 600          | 244           | 300           | Plant issue fee  |          |
| 122                 | 130          | 122           | 130           | Petitions to the Commissioner  |          |
| 123                 | 50           | 123           | 50            | Processing fee under 37 CFR § 1.17(q)                                      |          |
| 126                 | 180          | 126           | 180           | Submission of Information Disclosure Statement                             |          |
| 581                 | 40           | 581           | 40            | Recording each patent assignment per property (times number of properties) |          |
| 146                 | 710          | 246           | 355           | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149                 | 710          | 249           | 355           | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179                 | 710          | 279           | 355           | Request for Continued Examination (RCE)                                    |          |
| 169                 | 900          | 169           | 900           | Request for expedited examination of a design application                  |          |
| Other fee (specify) |              |               |               |  |          |
| SUBTOTAL (3)        |              |               |               |  | \$65.00  |

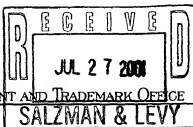
\*Reduced by Basic Filing Fee Paid

## SUBMITTED BY

|                   |           |                                   |        |           |              |
|-------------------|-----------|-----------------------------------|--------|-----------|--------------|
| Name (Print/Type) | Mark Levy | Registration No. (Attorney/Agent) | 29,188 | Telephone | 607-722-6600 |
| Signature         |           | Date                              | 8/7/01 |           |              |

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/876,348         | 06/07/2001          | Kathleen L. Horwath   | RB-125 RI              |

CONFIRMATION NO. 9095

## FORMALITIES LETTER



\*OC000000006341739\*

Mark Levy  
SALZMAN & LEVY  
Ste. 902  
19 Chenango St.  
Binghamton, NY 13901

Date Mailed: 07/25/2001

*Due 9/25/01*

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- ✓ The signature of the following inventor(s) is missing from the oath or declaration:  
*Kevin L. Meyers*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR

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